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S/N 10/056,226

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

HUI, et al.

Examiner:

D. Vu

Serial No.:

10/056,226

Group Art Unit:

2821

Filed:

January 23, 2002

Docket No.:

12364.28USU1

Title:

NOVEL CIRCUIT DESIGNS AND CONTROL TECHNIQUES FOR HIGH

FREQUENCY ELECTRONIC BALLASTS FOR HIGH INTENSITY

DISCHARGE LAMPS

CERTIFICATE UNDER 37 CFR 1.8(b):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trudemark Office on November 20,

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

EP 0 948 246 is an English equivalent of CN 1230871.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

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By:

Michael D. Schumann

Reg. No.: 30,422

MDS/pjk

23552

Dated: November 10, 2004

Date Mailed: November 10, 2004

Sheet 1 of 1

FORM 1449*	INFORMATION DISCLOSURE STATEMENT	Docket Number: 12364.28USU1	Application Number: 10/056,226			
	IN AN APPLICATION	Applicant: HUI, et al.				
	(Use several sheets if necessary)	Filing Date: January 23, 2002	Group Art Unit: 2821			

EXAMINER	DOCUMENT NO.	U.S. I	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
INITIAL		,				IF APPRO	PRIATE
							
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·····		FOREIG	ON PATENT DOCUM	ENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1230871	October, 1999	Chine				
	0 948 246	October, 1999	EPO				
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	OTHER	DOCUMENTS (I	ncluding Author, Title,	Date, Pertinent	Pages, Etc.)		
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EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.